

# Buildings and Berkovich Spaces

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This talk reports on joint work with Amaury Thuillier and Bertrand Rémy (Lyon). Our results generalize results of Vladimir Berkovich who investigated the case of split groups.

$K$  non-Archimedean field, i.e.  $K$  is complete with respect to a non-trivial absolute value  $|\cdot|_K$  satisfying

$$|a + b|_K \leq \max\{|a|_K, |b|_K\}.$$

$K$  is called discrete if the value group  $|K^*| \subset \mathbb{R}^*$  is discrete.

Non-archimedean analysis has special charms:

$$\sum_{n=1}^{\infty} a_n \text{ converges if and only if } a_n \rightarrow 0.$$

## Examples:

- $K = k((T))$  formal Laurent series over any ground field  $k$  with  $|\sum_{n \geq n_0} a_n T^n| = e^{-n_0}$  if  $a_{n_0} \neq 0$
- $K = \mathbb{C}\{\{T\}\}$  Puiseux series
- $K = \mathbb{Q}_p$ , the completion of  $\mathbb{Q}$  with respect to  $|x| = p^{-v_p(x)}$
- algebraic extensions of  $\mathbb{Q}_p$
- $K = \mathbb{C}_p$ , the completion of the algebraic closure of  $\mathbb{Q}_p$

$G$  semisimple group over  $K$ , i.e.

$G \hookrightarrow GL_{n,K}$  closed algebraic subgroup such that

$\text{rad}(G)$  (= biggest connected solvable normal subgroup) = 1

Examples:  $SL_n, PGL_n, Sp_{2n}, SO_n$  over  $K$   
 $SL_n(D)$   $D$  central division algebra over  $K$

- Goal:** Embed the Bruhat-Tits building  $\mathfrak{B}(G, K)$  associated to  $G$  in the Berkovich analytic space  $G^{an}$  associated to  $G$ .
- Hope:** Investigate the building with the help of the ambient Berkovich space  $G^{an}$ .

## Archimedean Example:

$$G = SL(2, \mathbb{R})$$

$H = SO(2, \mathbb{R})$  maximal compact subgroup

$$G/H = \mathbb{H} = \{z \in \mathbb{C} : \text{Im}(z) > 0\}$$

upper half-plane

## Non-Archimedean analog:

$p$  prime number

$$G = SL(2, \mathbb{Q}_p)$$

$H = SL(2, \mathbb{Z}_p)$  maximal compact subgroup.

$G/H$  is a totally disconnected topological space.

Note:  $\mathbb{H} = \{\text{norms on } \mathbb{R}^2\} / \text{scaling}$ .

Goldman-Iwahori:

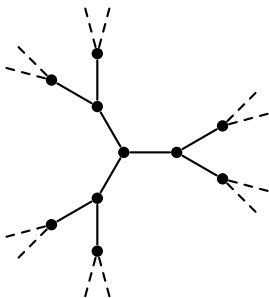
$\mathfrak{B}(SL_2, \mathbb{Q}_p) = \{\text{Non-archimedean norms on } \mathbb{Q}_p^2\} / \text{scaling}$

- Topology of pointwise convergence
- $SL(2, \mathbb{Q}_p)$ -action
- Stabilizer of the norm  $\begin{pmatrix} a \\ b \end{pmatrix} \mapsto \max\{|a|, |b|\}$   
is the maximal compact subgroup  $SL(2, \mathbb{Z}_p)$



# Bruhat-Tits buildings: Example

- $\mathcal{B}(SL_2, \mathbb{Q}_p)$  is an infinite  $(p + 1)$ -valent tree:



$(p = 2).$

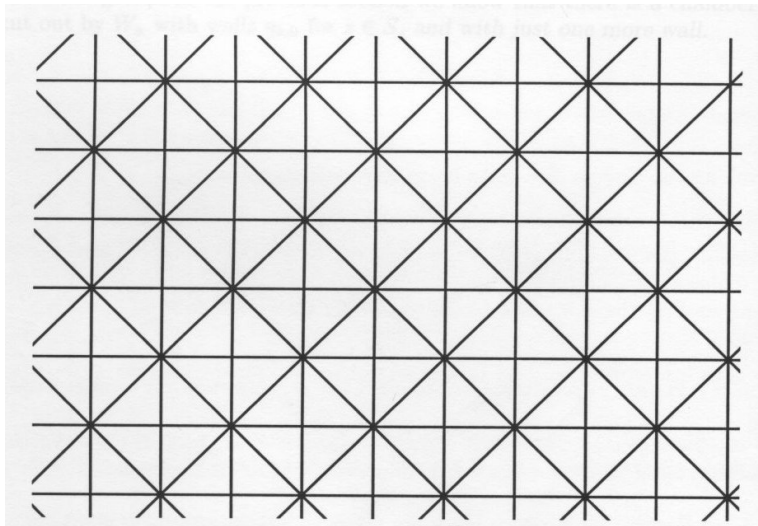
**In general:** The building  $\mathfrak{B}(G, K)$  is obtained by glueing real vector spaces (apartments).

Every maximal split torus  $T \subset G$ , i.e.  $T \simeq \mathbb{G}_{m,K}^r$ , induces an apartment  $A(T)$ , which is defined as the real cocharacter space  $A(T) = \text{Hom}_K(\mathbb{G}_m, T) \otimes_{\mathbb{Z}} \mathbb{R}$ .

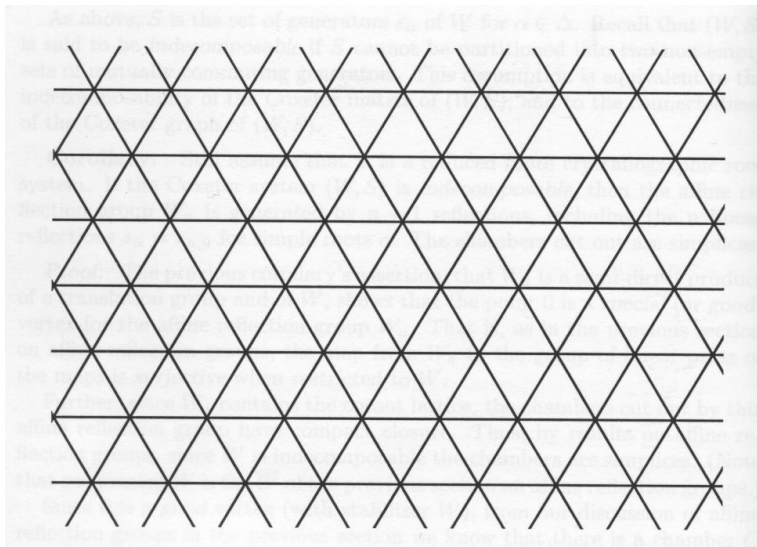
The glueing process is defined with deep (and quite technical) results by Bruhat and Tits.

$\mathfrak{B}(G, K)$  is a complete metric space with a continuous  $G(K)$ -action.

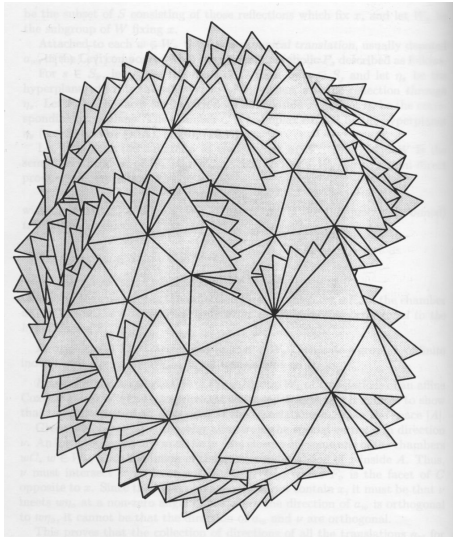
If  $K$  is discrete,  $\mathfrak{B}(G, K)$  carries a (poly-)simplicial structure.



# Apartment for $PGL_3$



# Some part of $\mathcal{B}(PGL_3, \mathbb{Q}_p)$



P. Garrett: Buildings and classical groups

# Why are Bruhat-Tits buildings useful?

- $\mathfrak{B}(G, K)$  is a nice space on which  $G(K)$  acts
- Cohomology of arithmetic groups (Borel-Serre)
- $\mathfrak{B}(G, K)$  encodes information about the compact subgroups of  $G(K)$
- Representation theory of  $G(K)$  (Schneider-Stuhler)
- Bruhat-Tits buildings are non-Archimedean analogs of Riemann symmetric spaces of non-compact type
- Buildings can be used to prove results for symmetric spaces (e.g. Kleiner-Leeb)

A Berkovich space is a non-Archimedean analytic space with good topological properties.

## Archimedean case:

$X$  smooth projective variety over  $\mathbb{C}$ . Then  $X(\mathbb{C})$  is a complex projective manifold.

## Non-archimedean case:

$X$  smooth projective variety over  $K$ . Then  $X(K)$  inherits a non-Archimedean topology from  $K$  with bad topological properties, e.g. it is totally disconnected.

Tate, Raynaud...

Define non-Archimedean analytic functions by a suitable Grothendieck topology

Berkovich

Enlarge  $X(K)$  to a topological space  $X^{an}$  with good properties.



**Example:** The Berkovich unit disc

Assume for simplicity that  $K$  is algebraically closed.

$A = K\{z\} = \{ \text{formal series } f(z) = \sum_{n \geq 0} a_n z^n \text{ with } a_n \rightarrow 0 \}$

$\| f \| = \max_n |a_n|_K$  Gauss norm on  $A$

$\mathcal{M}(A) = \{ \text{bounded multiplicative seminorms on } A \text{ extending } |\cdot|_K \}$   
is the Berkovich unit disc.

Hence every  $\gamma \in \mathcal{M}(A)$  is a function  $\gamma : A \rightarrow \mathbb{R}_{\geq 0}$  satisfying

- $\gamma|_K = |\cdot|_K$
- $\gamma(fg) = \gamma(f) \gamma(g)$
- $\gamma(f + g) \leq \gamma(f) + \gamma(g)$
- $\gamma \leq c \|\cdot\|$

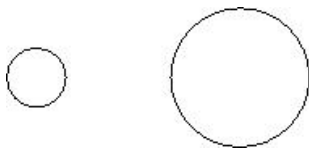
Every  $a \in K$  with  $|a|_K \leq 1$  induces a point  $|f|_a = |f(a)|_K$  in  $\mathcal{M}(A)$

The Gauss norm is multiplicative, i.e. a point in  $\mathcal{M}(A)$ .

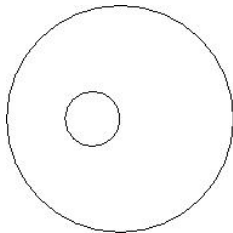
# Non-archimedean balls

The other seminorms in  $\mathcal{M}(A)$  can be described with closed non-Archimedean discs  $D(a, r) = \{x \in K : |x - a| \leq r\}$

Note: Two non-Archimedean closed discs are either disjoint



or nested



Basic fact: The Gauss norm is the supremum norm on  $D(0, 1)$ .

The Berkovich unit disc consists of the following points:

Points of type 1:  $|f|_a = |f(a)|_K$  for  $a \in D(0, 1)$ .

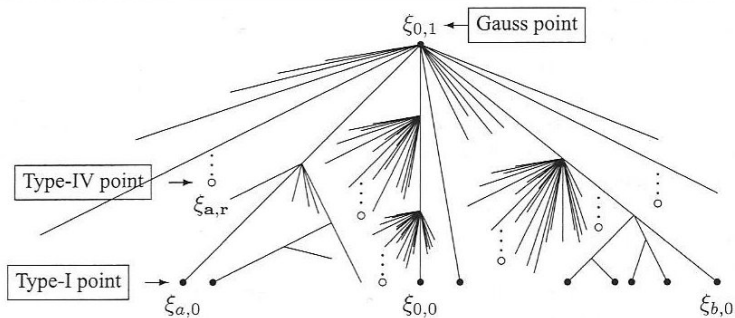
Points of type 2:  $|f|_{a,r} = \sup_{x \in D(a,r)} |f(x)|_K$  for  $D(a, r) \subset D(0, 1)$

and  $r \in |K^*|$

Points of type 3:  $|f|_{a,r} = \sup_{x \in D(a,r)} |f(x)|$  for  $D(a, r) \subset D(0, 1)$

and  $r \notin |K^*|$

Points of type 4:  $|f|_{\underline{a}, \underline{r}} = \lim_{n \rightarrow \infty} |f|_{a_n, r_n}$  for a nested sequence  $D(a_1, r_1) \supset D(a_2, r_2) \dots$  of closed discs in  $D(0, 1)$



(from J.H. Silverman: The arithmetic of dynamical systems)

Endow the Berkovich unit disc  $\mathcal{M}(A)$  with the topology of pointwise convergence of seminorms evaluated on  $A$ .

Then  $\mathcal{M}(A)$  is a compact, uniquely path-connected Hausdorff space containing  $\{x \in K : |x|_K \leq 1\}$  as a dense subspace.

Similarly one can define Berkovich discs of any radius  $r > 0$ .

Berkovich affine line:

$$\begin{aligned}(\mathbb{A}_K^1)^{an} &= \text{union of all Berkovich discs of positive radius} \\ &= \{\text{multiplicative seminorms on } K[z]\}.\end{aligned}$$

Berkovich projective line:

$(\mathbb{P}_K^1)^{an}$  can be constructed by glueing two Berkovich unit discs.

In general:

$X = \text{Spec } A$  for  $A = K[x_1, \dots, x_n]/\mathfrak{a}$

Berkovich space  $X^{an}$  corresponding to  $X$  :

$X^{an} = \{\text{multiplicative seminorms on } A \text{ extending } | \cdot |_K\}$

An analogous definition over the complex numbers yields  $X(\mathbb{C})$  by a theorem of Gelfand-Mazur.



Berkovich spaces have found a variety of applications, e.g.

- to prove a conjecture of Deligne on vanishing cycles (Berkovich)
- in local Langlands theory (Harris-Taylor)
- to develop a  $p$ -adic avatar of Grothendieck's "dessins d'enfants" (André)
- to develop a  $p$ -adic integration theory over genuine paths (Berkovich)
- for  $p$ -adic harmonic analysis and  $p$ -adic dynamics with applications in Arakelov Theory (Baker, Chambert-Loir, Rumely, Thuillier,...)

$G$  semisimple algebraic group over  $K$

$G^{an}$  Berkovich space associated to  $G$

We define a continuous,  $G(K)$ –equivariant embedding

$$\nu : \mathfrak{B}(G, K) \longrightarrow G^{an}$$

using the following theorem:

## Theorem

- i) For all  $x \in \mathfrak{B}(G, K)$  there exists an (affinoid) subgroup  $G_x = \mathcal{M}(A_x) \subset G^{an}$  such that

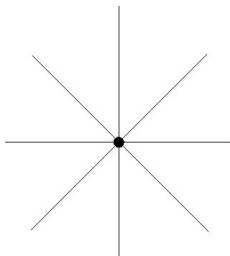
$$G_x(L) = \text{Stab}_{G(L)}(x)$$

for all non-Archimedean fields  $L \supset K$ .

- ii)  $G_x$  has a unique maximal point in  $G^{an}$  (Shilov boundary point), i.e. there exists a unique  $\nu(x) \in G^{an}$  such that all  $f \in A_x$  achieve their maximum on  $\nu(x)$ .

**Tools:** Bruhat-Tits theory, Berkovich's characterization of Shilov boundary points, descent theory for affinoids

**New idea:** Any point  $x$  becomes special



after base extension with a suitable  $L/K$ .

**Example**  $G = SL_2$   
 $T \subset G$  torus of diagonal matrices

$$A(T) = \text{Hom}(\mathbb{G}_m, T) \otimes_{\mathbb{Z}} \mathbb{R} = \mathbb{R}\mu \text{ for } \mu : a \mapsto \begin{pmatrix} a & 0 \\ 0 & a^{-1} \end{pmatrix}.$$

$$U_- = \left\{ \begin{pmatrix} 1 & 0 \\ u & 1 \end{pmatrix} : u \in K \right\} \quad U_+ = \left\{ \begin{pmatrix} 1 & v \\ 0 & 1 \end{pmatrix} : v \in K \right\}$$

$$\Omega = U_- T U_+ \subset SL_2 \quad \text{big cell}$$

Note that  $\Omega = \text{Spec } K[a, a^{-1}, u, v]$ .

# Embedding Theorem: Example

The embedding  $\nu$  is constructed apartment-wise. It maps  $A(T)$  to the analytified big cell  $\Omega^{an} \subset SL_2^{an}$ .

Explicit description: Let  $x\mu \in A(T)$ .

Then  $\nu(x\mu) \in \Omega^{an}$  is the following multiplicative seminorm on  $K[a, a^{-1}, u, v]$ :

$$\left| \sum_{\substack{k \in \mathbb{Z} \\ m, n \in \mathbb{N}_0}} c_{kmn} a^k u^m v^n \right|_{\nu(x\mu)} = \max_{k, m, n} |c_{kmn}|_K e^{x(m-n)}.$$

In particular, for  $0 \in A(T)$  we get

$$\left| \sum_{k, m, n} c_{kmn} a^k u^m v^n \right|_{\nu(0)} = \max_{k, m, n} |c_{kmn}|.$$

**Application:** Compactifications of Bruhat-Tits buildings

$G$  semisimple algebraic group over  $K$

$P \subset G$  parabolic subgroup

$G/P$  proper  $K$ -variety

**Example:**  $G = SL_n$  over  $K$

$F = (V_0 \subset \dots \subset V_k)$  flag of linear subspaces of  $K^n$

$P = \text{Stab}(F) \subset SL_n$

$G/P$  flag variety.

**Definition**  $\nu_P : \mathfrak{B}(G, K) \xrightarrow{\nu} G^{an} \rightarrow (G/P)^{an}$

The closure of the image of  $\mathfrak{B}(G, K)$  under  $\nu_P$  is a compactification  $\overline{\mathfrak{B}}_P(G, K)$  of  $\mathfrak{B}(G, K)$  (or of some almost simple factors).

**Theorem** 
$$\overline{\mathfrak{B}}_P(G, K) = \bigcup_{Q \text{ "good" parabolic}} \mathfrak{B}(Q_{ss}, K)$$

**Theorem** Any two points  $x, y$  in  $\overline{\mathfrak{B}}_P(G, K)$  are contained in one compactified apartment.

**Theorem** (Mixed Bruhat decomposition)  
Let  $x, y \in \overline{\mathfrak{B}}_P(G, K)$  with stabilizers  $P_x, P_y \subset G(K)$ .

Then  $G(K) = P_x N(K) P_y$ .



Example:

$$G = SL_n \text{ over } K.$$

$$P = \left\{ \left( \begin{array}{cccc} * & \cdots & * & * \\ \vdots & & \vdots & \vdots \\ * & \cdots & * & * \\ 0 & \cdots & 0 & * \end{array} \right) \right\} \text{ the stabilizer of a hyperplane}$$

$$\mathfrak{B}(G, K) = \{\text{non-Archimedean norms on } K^n\} / \text{scaling}$$

$$\cap$$

$$\overline{\mathfrak{B}}_P(G, K) = \{\text{non-Archimedean seminorms on } K^n\} / \text{scaling}$$

$$\cap$$

$$(G/P)^{an} = (\mathbb{P}^{n-1})^{an}$$